

Absolute Insight (QIF)  
**Application form**

➤ A BNY MELLON COMPANY<sup>SM</sup>



# Insight Global Funds III Plc ('the Company') application form

Please ensure you have read the Prospectus for the Company ("the Prospectus") before completing this application form. Defined terms used in this application form are those used in the Prospectus.

All applicants should sign the necessary declarations in Section 7. Foreign Persons and exempt Irish Residents should also complete the relevant declarations in Sections 8 and 9 respectively. Please contact the Administrator if you are an Irish Taxable Person.

This application form must be received by the Administrator before the Company's Dealing Deadline for subscriptions which is 12.00 midday (Irish time) on the Tuesday prior to the relevant Dealing Day (or the next Business Day where such Tuesday does not fall on a Business Day) unless the Directors otherwise agree. Applications received after this Dealing Deadline will be processed on the next Dealing Day.

On completion please return to the Company c/o the Administrator at the following address:

Insight Global Funds III Plc  
Transfer Agency Department  
78, Sir John Rogerson's Quay  
Dublin 2, Ireland

Tel: +44 (0) 20 3450 0874 + 353 1 242 5405 Fax: + 353 1 523 3788

Email: insightTATeam@statestreet.com

Telephone calls may be recorded.

## 1. I/We wish to open this account by investing the amount set out alongside

I/We hereby irrevocably apply for such number of Shares (including fractions) as detailed below. For more information on the share classes that are available, please refer to the Prospectus.

Fund	Share class	Currency EUR / GBP / USD	Investment amount
Absolute Insight (QIF)			

I/We acknowledge that the Company reserves the right to reject any application in whole or in part.

## 2. Name(s) for registration (block capitals)

Investments may be registered in the names of up to four joint holders. Please note all joint holders must sign the application form. Correspondence will be sent to the first named holder only. Investors cannot be registered in the name of any trust or executorships but must be registered in the names of individual trustees.

PO Box or C/O addresses will not be accepted.

The Administrator may issue electronic reports to shareholders. By ticking the box, I hereby consent to electronic receipt of contract notes, statements and other reports which may be issued from time to time in accordance with Irish law by the Administrator in respect of my holdings in the Company.

### 1. Registration details

Full name/applicant including account references (if applicable)

Contact person (if different from applicant name)

Address

Postcode

Email address

Telephone number

Fax number

Place of incorporation

Mailing contact name

Mailing address

Postcode

Email address

Telephone number

Fax number

2. Registration details

Full name/applicant including account references (if applicable)

Address

Postcode

Telephone number

Fax number

Place of incorporation

3. Registration details

Full name/applicant including account references (if applicable)

Contact person (if different from applicant name)

Address

Postcode

Email address

Telephone number

Fax number

Place of incorporation

4. Registration details

Full name/applicant including account references (if applicable)

Contact person (if different from applicant name)

Address

Postcode

Email address

Telephone number

Fax number

Place of incorporation



## 6. Method of payment

Payment can be made by either CHAPS or telegraphic transfer. Cleared funds must be received and accepted by the Administrator by 4pm (Irish time) by the fourth Business Day immediately following the relevant Dealing Day, unless otherwise approved by the Directors, into the appropriate bank account detailed alongside.

	EUR Share Classes	GBP Share Classes	USD Share Classes
Account Name	Insight Global Funds III plc	Insight Global Funds III plc	Insight Global Funds III plc
Account Number	6008 4731 9026	6008 4731 9018	6550362926
IBAN/ABA Number	GB47 BOFA 1650 5047 3190 26	GB69 BOFA 1650 5047 3190 18	026009593
Bank	Bank of America	Bank of America	Bank of America
SWIFT Code	BOFAGB22	BOFAGB22	BOFAUS3N
Sort Code	165050	165050	6550

From: Enter details of your bank account which will be used for this subscription.

Account number

SWIFT/ABA/Sort code

 -  - 

Correspondent bank

MONIES MUST COME FROM THE ACCOUNT OF THE INVESTOR

## 7. Signatures and Declarations

**This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.**

1. I/We hereby acknowledge as part of this application that I/we have been offered the Prospectus and the most recent annual reports and accounts for the Company and furthermore that this application is made on the terms thereof and subject to the provisions of the Prospectus and Memorandum and Articles of Association of the Company and I/we are bound by the terms of the Prospectus and Memorandum and Articles of Association of the Company.
2. I/We have made arrangements for payment to be made by electronic transfer in accordance with Section 6 of this application and acknowledge that the Company reserves the right to reject in whole or in part this application.
3. I/We hereby represent and declare that I/we: (1) am/are not a U.S. Person as defined in the Prospectus; (ii) have not been solicited to purchase and have not and will not acquire shares while present in the United States; (iii) am/are not applying as a nominee of a U.S. Person and I/we am/are not acting on behalf of nor do I/we intend to transfer any shares to a U.S. Person(s); (iv) will not transfer any shares or any interest therein to a U.S. Person and will not transfer any shares within the United States; and (v) will promptly notify the Company if I/we should at any time become a U.S. Person. I/We confirm that I/we have the authority to make this investment whether the investment is our own or is made on behalf of another person or institution. I/We hereby represent and declare that I/we are/am fully informed as to: (i) the legal requirements within our country for the purchase of the shares and are permitted to purchase the shares under the laws and regulations of our home country in the manner in which the shares have been offered and sold to me/us; (ii) any foreign exchange restrictions applicable to me/us; and (iii) any relevant tax considerations relating to me/us arising out of our purchase and ownership of shares.
4. I/We hereby agree to indemnify and hold harmless the Company, Custodian, Administrator, Investment Manager, Sub-Investment Manager, Distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the Company. The Company, the Custodian, the Administrator, the Distributor and the Investment Manager will not be responsible or liable for the authenticity of instructions received from me/us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine.

I/We also agree to indemnify and hold harmless the Company, the Custodian, the Administrator, the Investment Manager, the Distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result from my holdings of shares in the Company which brings the Company into conflict with any requirement of any local regulatory authority or the Company incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.

## 7. Signatures and Declarations

This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.

5. I/We the undersigned hereby authorise the Administrator and any other agents to act upon instructions by fax with regard to the Shares subscribed for (and any further Shares purchased) or any matter in connection with them or any of them. I/We agree to keep the Company and the Administrator indemnified against any loss of any nature whatsoever arising to either of them as a result of either of them acting upon instructions received by fax. The Administrator and the Company may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instructions or other instrument believed in good faith to be genuine or to be signed by properly authorised persons. I/We acknowledge that no redemption payments will be made until the original subscription application form has been provided by me/us along with all anti-money laundering documentation, and the anti-money laundering procedures have been completed. I/We acknowledge that redemptions will not be processed on non-cleared/verified accounts and that redemption proceeds will only be paid to the account in the name of the investor from which the initial subscription monies were remitted. I/We also acknowledge that amendments to registration details and payment instructions will only be effected on receipt of original documentation. For the avoidance of doubt, only instructions received by way of the methods prescribed in this application form will be processed. Any instructions received by electronic (i.e. email/internet) or telephonic means will not be processed.

I/We hereby agree to indemnify each of the Company and the Administrator and agree to keep them indemnified against any loss of any nature whatsoever arising to either of them as a result of them acting in respect of any transfer, payment or any other act done in accordance with such Instructions. The Company and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed (or given) by properly authorised persons.

6. I/We hereby acknowledge and agree that neither the Company nor the Administrator shall be responsible for any mis-delivery or non-receipt of any fax if they have not acknowledged receipt of the fax or original document. Facsimiles sent to the Company or the Administrator shall only be effective when actually acknowledged by the Company or the Administrator. In the event that no acknowledgement is received from the Administrator within five (5) days of submission of the request, I/we agree that I/we should contact the Administrator on telephone number +(353)1 242 5405 to confirm receipt by the Administrator of the request.
7. I/We acknowledge that due to anti-money laundering requirements operating within their respective jurisdictions the Company, the Administrator, the Investment Manager, the Sub-Investment Manager or the Distributor (as the case may be) may require further identification from me/us, as described in the Prospectus, at any time in relation to this application and the Company, the Administrator, the Investment Manager, the Sub-Investment Manager and the Distributor shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information as has been required by the parties referred to has not been provided by me/us.

I/We also warrant and declare that the monies being invested pursuant to the application do not represent directly or indirectly the proceeds of any criminal activity and that the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.

8. I/We agree that personal information obtained in relation to me/us will be held on computer and may be disclosed by the Company, the Administrator, the Investment Manager, the Sub-Investment Manager or Custodian to each other or to their related companies and may be used for the purposes of maintaining records, administration of the Company and marketing of the Company or other investment products or services of the Investment Manager or its related companies. This may include disclosure to third parties such as auditors, the Irish Financial Services Regulatory Authority, the Revenue Commissioners or agents of the Administrator who process the data for anti-money laundering purposes or for compliance with foreign regulatory requirements. I/We also agree that such information may be transferred to countries outside the European Economic Area for these purposes and that such countries may not have equivalent data protection laws to those in Europe. I/we hereby acknowledge my/our right of access to and the right to rectify my/our personal data. The Company is a data controller and will hold any personal information provided by me in accordance with the Data Protection Act 1988 as amended by the Data Protection (Amended) Act, 2003.
9. To be valid, this application form must be signed by each applicant and if not fully completed to the satisfaction of the Company, the Administrator or the Distributor the application may be rejected. In the case of a partnership/firm applications should be signed by all the partners/proprietors. In the case of a corporation, applications should be executed under seal or signed by a duly authorised person(s) who should state the representation capacity. In the case of a trust, application should be made in the individual names of the trustees and should be accompanied by duly certified documentation. If this application form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this application.

10. I/We undertake to abide by the restrictions on transfers of Shares set out in the Prospectus under the headings "Transfer of Shares". In addition, I/we undertake to ensure that the transferee completes an Application Form in respect of such transfer.
11. I/We hereby warrant that I am / we are a Qualifying Investor. I/We acknowledge that I am/ we are aware of the risks involved in the proposed investment and of the fact that inherent in such investment is the potential to lose all of the sum invested.
12. I/We hereby represent that I/we are not a Benefit Plan Investor and that, if we do become a Benefit Plan Investor, we will immediately inform the Administrator and complete the Administrator's Benefit Plan Investor Questionnaire.
13. I/We hereby confirm that I/we shall be deemed to make, on a continuous basis, each of the statements contained herein unless I/we notify you to the contrary in relation to any shares I/ we hold or obtain at any time.

Please sign alongside

Signature of all applicant(s),  
(individuals) or duly authorised  
signatories (corporate applicants).

Name (1)

Date

Authorised Signature

Name (2)

Date

Authorised Signature

Name (3)

Date

Authorised Signature

Name (4)

Date

Authorised Signature

## 8. Declaration of residence outside the Republic of Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax.

**It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares.**

Terms used in this declaration are defined in the Prospectus. Tick (a) or (b) as appropriate (mandatory).

**(a) Declaration on own behalf**

I/We\* declare that I am/we are\* applying for the shares on my own/our own behalf/on behalf of a company\* and that I am/we are/the company\* is entitled to the shares in respect of which this declaration is made and that:

- I am/we are/the company is\* not currently resident or ordinarily resident in Ireland, and
- should I/we/the company\* become resident in Ireland I will/we will\* so inform you, in writing, accordingly.

**(b) Declaration as Intermediary**

I/We\* declare that I am/we are\* applying for shares on behalf of persons:

- who will be beneficially entitled to the shares; and,
- who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/We\* also declare that:

- unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares made by me/us\* from the date of this application will be made on behalf of such persons; and,
- I/We\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/ we\* hold shares, becomes resident in Ireland.

## 8. Declaration of residence outside the Republic of Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax.

**It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares.**

Terms used in this declaration are defined in the Prospectus. Tick (a) or (b) as appropriate (mandatory).

**Joint applicants should also complete the following:**

Applicant name and address (registered name)

  

Irish Tax reference number of applicant

Signature of applicant or authorised signatory (declarant)

Capacity of authorised signatory (if applicable)

Date

Name

Signature

Name

Signature

Name

Signature

Name

Signature

### Important Notes

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.
4. Tax reference number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager/qualifying savings manager, quote the Tax Reference Number of the beneficial owner of the shares.

9. Declaration of Residence within the Republic of Ireland  
(note 1) Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997

It is important to note that this declaration, if it is still then correct, shall apply in respect of any acquisitions of shares.

- I/We\* declare that the information contained in this declaration is true and correct.
- I/We\* also declare that I am applying for the shares on behalf of the applicant named below who is entitled to the shares in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997 ("TCA 1997"), being a person who is:

**Please tick as appropriate**

- A pension scheme
- A company carrying on life business within the meaning of section 706 TCA 1997
- An investment undertaking
- A special investment scheme
- A unit trust to which section 731(5)(a) TCA 1997 applies;
- A charity being a person referred to in section 739D(6)(f)(i) TCA 1997;
- A qualifying management company
- A specified company
- Entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA 1997\* or by virtue of Section 848E TCA 1997\*, (see further requirements for Qualifying Fund Manager/Qualifying Savings Manager below).
- A PRSA Administrator
- A credit union within the meaning of Section 2 of the Credit Union Act 1997

\* Delete as appropriate (mandatory)

**Additional requirements where the declaration is completed on behalf of a Charity**

I/We also declare that at the time of making this declaration, the shares in respect of which this declaration is made are held for charitable purposes only and;

- Form part of the assets of a body of persons or trust related by the Revenue Commissioners as a body or trust established for charitable purposes only, or
- Are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.

I/We\* undertake that, in the event that the person referred to in paragraph (7) (d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA 1997, I/we will by written notice, bring this fact to the attention of the investment undertaking accordingly.

\* Delete as appropriate (mandatory)

**Additional requirements where the declaration is completed by a Qualifying Fund Manager/Qualifying Savings Manager/PRSA Administrator**

I/We\* also declare that at the time this declaration is made, the shares in respect of which this declaration is made;

- Are assets of an \*approved retirement fund/an approved minimum retirement fund, a special savings incentive account or a PRSA, and
- Are managed by the declarant for the individual named below who is beneficially entitled to the shares.

I/We\* undertake that, if the shares cease to be assets of the \*approved retirement fund/the approved minimum retirement fund, a PRSA, or held in a special savings incentive account, including a case where the shares are transferred to another such fund or account, I/we\* will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

\* Delete as appropriate (mandatory)

**Additional requirements where the declaration is completed by an Intermediary**

I/We\* declare that I am/we\* are applying for shares on behalf of persons who:

- To the best of my/our\* knowledge and belief have beneficial entitlement to each of the shares in respect of which this declaration is made; and
- Is a person referred to in Section 739D(6) TCA 1997.

I/We\* also declare that:

- Unless I/we\* specifically notify you to the contrary at the time of the application, all applications for shares made by me/us\* from the date of this application will be made on behalf of persons referred to in Section 739D(6) TCA 1997; and
- I/We\* will inform you in writing if I/we\* become aware that any person ceases to be a person referred to in Section 739D(6) TCA 1997. \* Delete as appropriate (mandatory).

9. Declaration of Residence within the Republic of Ireland  
(note 1) Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997

Name of applicant

Irish Tax Reference Number of applicant (note 2)

Authorised signatory (Declarant) (Mr/Ms/etc.) (note 3)

Capacity in which declaration is made

Date

Joint applicants should also complete the following:

Name

Signature

Name

Signature

Name

Signature

Name

Signature

### Important Notes

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
2. Tax reference number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager/qualifying savings manager, quote the Tax Reference Number of the beneficial owner of the shares.
3. In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. It must also be signed by a qualifying fund manager of an approved retirement fund/an approved minimum retirement fund, by a qualifying savings manager of a special savings incentive account or a PRSA administrator. In the case of an Intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees.

In any other case, it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

## Appendix 1

### Anti-money laundering requirements / anti-terrorist financing procedures

Under Irish legislation covering anti-money laundering and anti-terrorist financing the Company and the Administrator are required to obtain documentation to verify the identity of all new clients and their beneficial owner(s), except where a client qualifies for an exemption as set out below.

The Company and the Administrator reserve the right to carry out additional procedures in relation to a client who meets the definition of a Politically Exposed Person (PEP) including establishing the source of wealth/funds.

Please note that the application may not be accepted until all the relevant information has been received.

Redemptions will not be processed on non cleared/verified accounts. If you are an Existing Investor it will not be necessary to provide any of the information set out below in connection with this application.

### Exemptions

If you are a bank/financial institution/listed company/public body or a body entrusted with a public function authorized and regulated in the Equivalent Jurisdiction as defined below then please provide the following information.

Name of regulated entity

Name of regulator

It should be noted that a subsidiary of a parent listed company in an Equivalent Jurisdiction is also exempt provided the subsidiary company is bound by the anti money laundering and anti terrorist financing policies and procedures of the parent listed company.

An Equivalent Jurisdiction includes all countries in the European Union, Australia, Canada, Hong Kong, Iceland, Isle of Man, Jersey, Gibraltar, Guernsey, New Zealand, Norway, Singapore, Switzerland and the USA.

If you are an intermediary/agent/nominee company authorized and regulated in an Equivalent Jurisdiction as defined above then please complete an Eligible Introducer Form, which may be obtained from the Administrator.

1. If the Applicant is a natural person please provide the following to the Administrator along with the application:
  - a certified\*\* copy of your passport (or national identity card) showing the photograph and signature; and
  - Two items of proof of address: for example an original or certified\*\* copy of a recent utility bill or bank statement (not more than 3 months' old).

\*\*All certified copies should be true-ink and certified by a Notary Public, Solicitor, Company Registrar or any person so authorised under the laws of your country or domicile.

Where the customer is not physically present for identification purposes or where an electronic form of verification is provided, then the first payment must be made through an account in the customer's name with a credit institution from an Equivalent Jurisdiction.

2. If the Applicant is a corporation please complete the section below providing details of the corporation's directors and the documents detailed below to the Administrator along with the application:

Name

Residential Address

Occupation

Business Address

Date of birth

Name

Residential Address <input type="text"/> <input type="text"/> <input type="text"/>	Business Address <input type="text"/> <input type="text"/> <input type="text"/>
Occupation <input type="text"/>	Date of birth <input type="text"/>

Name

Residential Address <input type="text"/> <input type="text"/> <input type="text"/>	Business Address <input type="text"/> <input type="text"/> <input type="text"/>
Occupation <input type="text"/>	Date of birth <input type="text"/>

Name

Residential Address <input type="text"/> <input type="text"/> <input type="text"/>	Business Address <input type="text"/> <input type="text"/> <input type="text"/>
Occupation <input type="text"/>	Date of birth <input type="text"/>

Additional Directors' details should be supplied on a separate sheet of paper. The Administrator should be notified of any change in the Directors.

Please also provide the following documentation:

- (a) Certified\*\* copy of Certificate of Incorporation (or equivalent);
- (b) Certified\*\* copy of Certificate of Good Standing (or equivalent);
- (c) Certified\*\* copy of Memorandum and Articles of Association (or equivalent document showing registered corporate office);
- (d) Certified\*\* copy of the corporation or entity's list of authorised signatories;
- (e) In respect of at least two of the directors; a certified\*\* copy of their passport (or national identity card) showing the photograph and signature; and  
 Two items of proof of address: for example an original or certified\*\* copy of a recent utility bill or bank statement (not more than 3 months' old).
- (f) If the investor is a privately-held entity (which is not a subsidiary of a corporation listed on a regulated market) the names of the corporation's beneficial owner(s), i.e. those persons or entities who own and/or control more than 25% (twenty-five percent) of the shares or voting rights of the corporation, whether through direct or indirect ownership or control, or who otherwise exercises control over its management ("Beneficial Owner") are required. If the Beneficial Owner is an entity and not an individual, then the name of the individual(s) who owns or controls more than 25% (twenty-five percent) of the Beneficial Owner is required. The Company and the Administrator also reserve the right to request supporting identity verification documentation from all of the Beneficial Owners. If the relevant Beneficial Owner is an entity and not an individual, identity documentation of any individual who owns or controls more than 25% (twenty-five percent) of that Beneficial Owner may be requested.

If the corporation is either listed on a recognised exchange or is a regulated entity then it should provide proof of such listing or regulation, an authorised signatory list and complete the sections above providing details of its directors, in lieu of items (a) to (f).

If the corporation is itself an investment fund then it should provide an anti-money laundering representation letter from its administrator in lieu of item (f)

\*\*All certified copies should be true-ink and certified by a Notary Public, Solicitor, Company Registrar or any person so authorised under the laws of your country or domicile.

3. If the Applicant is a Limited Partnership or LLC then please supply the following documentation:

- (a) Certified\*\* copy of Certificate of establishment (or equivalent);
- (b) Certified\*\* copy of limited partnership agreement or LLC operating agreement (clearly showing registered office address of the applicant);
- (c) Certified\*\* copy of the applicants list of authorised signatories;
- (d) In respect of the General Partner / Managing Member / Directors (whichever is applicable); a certified\*\* copy of their passport (or national identity card) showing the photograph and signature; and

Two items of proof of address: for example an original or certified\*\* copy of a recent utility bill or bank statement (not more than 3 months' old).

(e) In respect of its limited partners / members:

- Name of all partners (where not a partnership fund) or if a partnership fund names of the General Partner and partners who are ultimately entitled, whether direct or indirect, to more than a 25% share of the capital or profits of the partnership or more than 25% of the voting rights in the partnership or otherwise exercises control over the management of the partnership ("Beneficial Owner"). If a Beneficial Owner is itself owned by an individual which owns more than 25% of the share capital, profit or voting rights of the Beneficial Owner, the name of such individual must also be provided. If a Beneficial Owner is itself owned by an individual which owns more than 25% of the share capital, profit or voting rights of the Beneficial Owner, supporting identity verification documentation for that individual may be required.

If the applicant is either listed on a recognised exchange or is a regulated entity then the applicant should provide proof of such listing or regulation, an authorised signatory list and complete the sections above providing details of its directors, in lieu of items (a) to (e).

If the applicant is itself an investment fund then the applicant should provide an anti-money laundering representation letter from its administrator in lieu of item (e)

The Administrator may also, without limitation, seek confirmation of shareholders, limited partners or members (whichever is applicable) and require additional information and/or documentation relating to the Directors, General Partner or Managing Member (whichever is applicable).

\*\*All certified copies should be true-ink and certified by a Notary Public, Solicitor, Company Registrar or any person so authorised under the laws of your country or domicile.

4. If the Applicant is a Trust, then please provide the following information:

- (a) Certified copy of true ink copy of the Trust Deed
- (b) In respect of the Settlor and Trustees which are natural persons please provide a certified copy of his/her passport or driver's license and original or certified true ink copies of two proofs of address.
- (c) In respect of the Settlor and Trustees which are corporate entities please provide all the same for a corporate applicant as described above.
- (d) The names of any beneficial owners of the trust. Beneficial owners are defined as: (i) any individual who is entitled to a specified interest in at least 25% (twenty-five percent) of the capital of the trust property; (ii) the class of persons in whose main interest the trust is set up or operates. This definition applies to trusts that operate entirely for the benefit of a class of persons with specific interest. Where only a class of person is required to be identified, it is sufficient to provide the name and the scope of the class without identifying any members of the class; (iii) any individual who has control over the trust. If the Beneficial Owner is an entity, the name of the individual(s) who own or control more than 25% (twenty-five percent) of that Beneficial Owner is required. The Company and the Administrator also reserve the right to request supporting identity verification documentation from each of the following (i) two trustees or one trustee and authorised signatory, (ii) any settler settling more than 25% of the capital of the trust; (iii) any Beneficial Owner and (iv) where the Beneficial Owner mentioned at (iii) above is owned by an individual, the Company and the Administrator may request supplementary identity verification documentation from that individual.

