

Insight Global Funds II plc  
Insight LIBOR Plus Fund  
**Application form**

➤ A BNY MELLON COMPANY<sup>SM</sup>



# How to invest in the Insight LIBOR Plus Fund (the “Fund”)

## Setting up your account

- Please ensure that you have read the Simplified Prospectus and the Prospectus for Insight Global Funds II plc (the “Company”) (the “Prospectus”) before completing this application form. Defined terms used in this application form are those used in the Prospectus.
- The Prospectus can be obtained from our website ([www.insightinvestment.com](http://www.insightinvestment.com)) or by speaking to your Insight contact.
- Clearly identify the share class in which you wish to invest.
- All applicants should sign the necessary declarations in section 10. Foreign Persons and Exempt Irish Residents should also complete the relevant declarations in sections 8 and 9 respectively. Please contact the Administrator if you are an Irish Taxable Person.
- The amount may be left blank if you want to open the account and invest at a later date.
- Applications received after the Fund’s weekly Dealing Deadline will be processed on the next Dealing Day unless the Directors otherwise agree.
- Application forms can be faxed to our Dublin administrator on +353 1 434 5286 or can be sent, with an authorised signatory list and anti-money laundering documentation in line with the requirements detailed on the appropriate form to:

Insight Global Funds II Plc, c/o Transfer Agency Department, Northern Trust International Fund Administration Services (Ireland) Limited, Georges Court, 54 – 62 Townsend Street, Dublin 2, Republic of Ireland.

In the event that you have dealt by fax you are required to provide original documentation to the same address.

Failure to supply the required documentation will result in payments being withheld by us.

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## Method of payment

Payment can be made by either CHAPS or telegraphic transfer to the appropriate account. Monies should be sent to arrive no later than the stated time on the relevant Settlement Date. Subsequent subscriptions and redemptions should also be made by fax or by phoning the dealing line before the Fund’s Dealing Deadline.

	For GBP settlement Barclay’s Bank.
Sort code	20-32-53
Account number	13389774
Account name	The Northern Trust Company AVFC London
IBAN / ABA number	GB67BARC20325313389774
Reference account number	17-39951
Reference account name	Insight Libor Plus Fund
Swift code	BARCGB22

**To ensure settlement of your investment, please always quote the Fund reference ‘Insight Libor Plus Fund’ on your payment.**

Your payment should be made in one sum equal to the investment amount and net of any bank charges. Payments should be made in the base currency of the Fund unless previously agreed with your Insight contact and be paid for value on the contractual settlement date of your trade. Payments which cannot be allocated will be returned to your bank.

# Insight Global Funds II plc

## Insight LIBOR Plus Fund

On completion please return to: Insight Global Funds II plc c/o Transfer Agency Department, Northern Trust International Fund Administration Services (Ireland) Limited, Georges Court, 54-62 Townsend Street, Dublin 2 Fax: +353 1 434 5286 Tel: +353 1 542 2910 or 020 7982 3985. Telephone calls may be recorded.

1. I/We wish to open this account by investing the following amount:

Share Class	Minimum initial investment	Investment amount	
		Shares	Cash
B	£1,000,000		

### 2. Name(s) for registration (block capitals)

Please complete either section (a) for individual investors or individual trustees or (b) for corporate investors or corporate trustees (overleaf).

Investments may be registered in the names of up to four joint holders. If there are more than two joint holders please provide the additional details on a separate sheet. Please note all joint holders must sign the application form. Correspondence will be sent to the first named holder only. Investments cannot be registered in the name of any trust or executorships but must be registered in the names of individual trustees.

**PO Box or C/O addresses will not be accepted.**

#### (a) Individual investors / Individual trustees:

##### 1. Registration details

Title (Mr, Mrs, Miss, Other)

Full name

Address



Postcode

Email address

Telephone number

Fax number

For trusts/estates please indicate name here

##### 2. Registration details

Title (Mr, Mrs, Miss, Other)

Full name

Address



Postcode

Email address

Telephone number

Fax number

For trusts/estates please indicate name here

**(b) Corporate shareholders or corporate trustee:**

Place of Incorporation	
<input type="text"/>	
Full title of body corporate / corporate trustee for registration	
<input type="text"/>	
Account Designation(s) (if applicable)	
<input type="text"/>	
Address	
<input type="text"/>	
<input type="text"/>	
Postcode	Email address
<input type="text"/>	<input type="text"/>
Telephone number	Fax number
<input type="text"/>	<input type="text"/>
For trusts/estates please indicate name here	
<input type="text"/>	
Mailing contact name	
<input type="text"/>	
Mailing address	
<input type="text"/>	
<input type="text"/>	
Postcode	Email address
<input type="text"/>	<input type="text"/>
Telephone number	Fax number
<input type="text"/>	<input type="text"/>

### 3. My/Our bank account details

Please complete the relevant section with the details of the bank account into which you wish redemption payments to be made. Please be aware that payments will be withheld until full bank account details are provided. You can provide bank account details at a later date, but must be in writing accompanied by an original signing authority before any payments can be made.

Amendments to an investor's payment instructions will only be effected upon receipt of an original instruction which has been signed by an authorised signatory.

#### £ Sterling

Correspondent bank (if applicable)	
<input type="text"/>	
Correspondent bank ABA / BIC code (if applicable)	
<input type="text"/>	
Intermediary bank name	Sort code / BIC
<input type="text"/>	<input type="text"/>
Account name	
<input type="text"/>	
Account number	
<input type="text"/>	
IBAN (if applicable)	
<input type="text"/>	

**NO THIRD PARTY PAYMENTS WILL BE ACCEPTED**  
Payment should come from the account of the applicant(s).

## 4. Electronic Reporting

We can send you contract notes, statements and other reports by email or fax rather than post. Information not sent electronically will be issued by post.

Please state the name, email address, telephone and fax number of all individuals to which electronic reporting should be made available.

Full name	Email address	Fax number	Telephone number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Only investors who do **NOT** want to receive electronic reporting should tick the box below.

By ticking the box, I hereby confirm that I do not wish to receive contract notes, statements and other reports which may be issued from time to time in accordance with Irish Law by the Administrator in respect of my holdings in the Company by electronic means.

## 5. Anti-money laundering requirements

In line with anti-money laundering requirements operating in various jurisdictions, all investors are required to be identified. For this purpose certain documentation will be required at the time of opening. Failure to supply all of the necessary account documentation will result in payments being withheld by us.

Please tick the most appropriate box and provide the relevant documentation.

Additional confirmation of identify of the applicant, authority of the applicant or source of the funds may be required in certain circumstances.

Are you investing as:

**A Company**

If you are an applicant/transferee that is a COMPANY, please supply the following:

1. Confirmation of regulatory body (if applicable).
2. Certified copy certificate of incorporation or certificate to trade\*.
3. A copy of the Memorandum and Articles of Association.
4. List of all directors' names, occupations, residential and business addresses and dates of birth.
5. In order to verify that the signatories on the Account Opening Form are authorised to sign you must provide:
  - Certified authorised signatory list (ASL)\*\* on company letterhead.
  - This must be accompanied by a mandate\*\*. The mandate should confirm the individuals and the capacity in which they have authority to operate on the account (e.g. Certified copy of board minutes)\*\*.

Please note that we will only allow individuals who have been given authority under the mandate to act on the account.

\*Certified by Companies Registration Office, lawyer or bank.

\*\*This documentation must be original or certified copies by a bank, lawyer, notary public or regulated Investment Adviser.

AND

If the company is not quoted on a stock exchange in Australia, Austria, Belgium, Bermuda, Canada, Denmark, Finland, France, Germany, Gibraltar, Greece, Guernsey, Hong Kong, Iceland, Ireland, Italy, Japan, Jersey, Luxembourg, Isle of Man, Netherlands, New Zealand, Norway, Portugal, Singapore, South Africa, Spain, Sweden, Switzerland, Turkey, United Kingdom, United States (please note this list is subject to change), please also supply:

- Identification as per individual investor (see below) for at least 2 directors and all persons authorised to operate on the account.
- List of names, addresses, dates of birth and occupations of shareholders holding 20% or more of the share capital. And in the case of individual shareholder's names, residential addresses, occupations and dates of birth.
- Authorised mandate or board resolution to establish the business relationship.

**An Institution**

If you are an applicant/transferee that is an INSTITUTIONAL investor e.g. pension fund, local authority, or charity, please supply the following:

1. List of names, dates of birth, occupations and permanent addresses of all governors/board members/partners.
2. Certified copies of the above governors/board members/partners' identification cards/ passports and certified copies of proof of address\* as per 'Individual investors' (see below).
3. Documentary evidence of the regulatory status of the applicant, please contact the Administrator for further details.
4. Properly authorised mandate of persons completing the form to act on behalf of the applicant.
5. In order to verify that the signatures on the Account Opening Form are authorised to do so you must provide:
  - Certified authorised signatory list (ASL)\* on company letterhead.

- This must be accompanied by a mandate\*. The mandate should confirm the individuals and the capacity in which they have authority to operate on the account (e.g. Certified copy of board minutes)\*.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

\* This documentation must be original or certified copies by a bank, lawyer, notary public or regulated Investment Adviser.

**A Trust**

If you are an applicant/transferee that is a TRUST, please supply the following:

1. List of names, dates of birth, occupations and permanent addresses of all trustees/beneficiaries.
2. Certified copy of the Trust Deed.
3. Certified copies of the above trustee's/beneficiaries' identification\* as per individual investors (see below).
4. Evidence of the above trustee's authority to make investments in the Company on behalf of the trust.
5. In order to verify that the signatures on the Account Opening Form are authorised to do so you must provide:
  - Certified authorised signatory list (ASL)\* on company letterhead.
  - This must be accompanied by a mandate\*. The mandate should confirm the individuals and the capacity in which they have authority to operate on the account (e.g. Certified copy of trust deed)\*.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

\* This documentation must be original or certified copies by a bank, lawyer, notary public or regulated Investment Adviser.

**A Financial Company NOT investing on behalf of underlying investors**

I/We are a bank/provider of financial services or a nominee company/nominee account which is part of/used by a bank/provider of financial services authorised and regulated in Australia, Austria, Belgium, Bermuda, Canada, Denmark, Finland, France, Germany, Gibraltar, Greece, Guernsey, Hong Kong, Iceland, Ireland, Italy, Japan, Jersey, Luxembourg, Isle of Man, Netherlands, New Zealand, Norway, Portugal, Singapore, South Africa, Spain, Sweden, Switzerland, Turkey, United Kingdom, United States, (please note this list is subject to change).

Please supply the following:

1. The name of regulated entity and also the name of your regulator below:

Name of regulated entity

Name of regulator



In order to verify that the signatories on the Account Opening Form are authorised to sign you must provide:

2. Certified authorised signatory list (ASL)\* on company letterhead.
  - This must be accompanied by a mandate\*. The mandate should confirm the individuals and the capacity in which they have authority to operate on the account.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

\*This documentation must be original or certified copies by a bank, lawyer, notary public or regulated Investment Adviser.

**A Financial Company, Agent or Intermediary who is investing on behalf of underlying investors**

1. I/We (name and address of company / agent / intermediary)



confirm that we are acting on behalf of a third party,

confirm that we are regulated in (name of jurisdiction) Jurisdictional Regulator

by (name of regulator)

2. Please contact the Administrator for the appropriate Letter of Introduction which must be completed and signed by your compliance department / Money Laundering Reporting Officer.
3. In order to verify that the signatories on the Account Opening Form are authorised to sign you must provide:
  - Certified authorised signatory list (ASL)\* on company letterhead.
  - This must be accompanied by a mandate\*. The mandate should confirm the individuals and the capacity in which they have authority to operate on the account (e.g. Certified copy of board minutes)\*.

Please note that we will only allow individuals who have been given authority per the mandate to act on the account.

\*This documentation must be original or certified copies by a bank, lawyer, notary public or regulated Investment Adviser.

**An Individual and Joint Investor/s**

If you, as applicant(s) or transferee(s) are an INDIVIDUAL(S) please supply the following documents; in the case of joint holders, please supply the relevant documentation in respect of ALL holders.

1. Certified\* copy of your photo identification card/passport AND
2. **Two forms of address verification.** Must be no more than 6 months old. This can be supplied as one of the following options:
  - i) Certified\* copies of 2 different utility bills OR
  - ii) Certified\* copies of a utility bill and a bank statement

\* All of the above copies can be certified by any of the following; a police officer, chartered and certified public accountant, notaries public, solicitor, embassy and consular staff and your bank or Investment Adviser if authorised and regulated in Australia, Austria, Belgium, Bermuda, Canada, Denmark, Finland, France, Germany, Gibraltar, Greece, Guernsey, Hong Kong, Iceland, Ireland, Italy, Japan, Jersey, Luxembourg, Isle of Man, Netherlands, New Zealand, Norway, Portugal, Singapore, South Africa, Spain, Sweden, Switzerland, Turkey, United Kingdom, United States, (please note this list is subject to change).

## 6. Savings directive (individual and joint investors only)

To verify your identity for tax purposes, please attach an original document containing your Tax Identification Number or if not available, an identity document containing details of your place and date of birth.

In accordance with the requirements of Council Directive 2003/48/EC ('Savings Directive'), individual investors are required to supply the following information. Under these requirements, the relevant paying agent may be required to disclose this information to the relevant tax authority depending on the investment and distribution policy of the Fund.

### Applicant 1

Your place of residence for tax purposes

Your Tax Identification Number in your place of residence for tax purposes

Town and country of birth (for those without a Tax Identification Number)

### Applicant 2

Your place of residence for tax purposes

Your Tax Identification Number in your place of residence for tax purposes

Town and country of birth (for those without a Tax Identification Number)

## 7. Financial adviser authorisation

This section should be completed if you are investing on the advice of a financial adviser.

This will help us keep your adviser up to date with the progress of your investment.

I/We hereby appoint the following financial adviser and authorise you to give him/her information relating to my/our account.

Contact name

This section should be completed  
by your financial adviser.

Financial adviser's stamp / address

Adviser signature

Company

Name

FSA / local regulator / Insight TOB number

% **Remuneration**

Please insert the amount of initial commission to be taken out by the financial adviser listed above.

I/We confirm that the applicant(s) is/are applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person not disclosed on this application form.

## 8. Declaration of residence outside the Republic of Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax.

**It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares.** Terms used in this declaration are defined in the Prospectus.

Delete (a) or (b) as appropriate.

### Important Notes

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

#### (a) Declaration on own behalf

I/We\* declare that I am/we are\* applying for the shares on my own/our own behalf/on behalf of a company\* and that I am/we are/the company\* is entitled to the shares in respect of which this declaration is made and that I am/we are/the company is\* not currently resident or ordinarily resident in Ireland, and should I/we/the company\* become resident in Ireland I will/we will\* so inform you, in writing, accordingly.

\* Delete as appropriate (mandatory)

#### (b) Declaration as Intermediary

I/We\* declare that I am/we are\* applying for shares on behalf of persons:

- who will be beneficially entitled to the shares; and,
- who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/We\* also declare that:

- unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares made by me/us\* from the date of this application will be made on behalf of such persons; and,
- I/we\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/we\* hold shares, becomes resident in Ireland.

\* Delete as appropriate (mandatory)

Applicant name and address

Signature of applicant or authorised signatory (declarant)

Capacity of authorised signatory (if applicable)

Date

**Joint applicants should also complete the following:**

Name

Signature

Name

Signature

## 9. Declaration of residence within the Republic of Ireland. Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997<sup>1</sup>

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares.

- I declare that the information contained in this declaration is true and correct.
- I also declare that I am applying for the shares on behalf of the applicant named below who is entitled to the shares in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997, being a person who is:

A pension scheme

A company carrying on life business within the meaning of Section 706 TCA 1997

An investment undertaking

A special investment scheme

A unit trust to which Section 731(5) (a) TCA 1997 applies

A charity being a person referred to in Section 739D(6)(f)(i) TCA 1997

A qualifying management company

A specified company

Entitled to exemption from income tax and capital gains tax by virtue of Section 784A(2) TCA, 1997\* or by virtue of Section 848E TCA, 1997\*, (see further requirements for Qualifying Fund Manager/Qualifying Savings Manager below).

A PRSA Administrator

A credit union within the meaning of Section 2 of the Credit Union Act 1997

\* Delete as appropriate.

- I also declare that at the time of making this declaration, the shares in respect of which this declaration is made are held for charitable purposes only and;

- form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or
  - are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.
- I undertake that, in the event that the person referred to in paragraph (7)(d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA, 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.
  - I/We\* also declare that at the time this declaration is made, the shares in respect of which this declaration is made
    - are assets of an \*approved retirement fund/an approved minimum retirement fund, a special savings incentive account or a PRSA, and
    - are managed by the Declarant for the individual named below who is beneficially entitled to the units.
- \* Delete as appropriate.

I/We\* undertake that, if the shares cease to be assets of the \*approved retirement fund/the approved minimum retirement fund, a PRSA, or held in a special savings incentive account, including a case where the units are transferred to another such fund or account, I/we\* will, by written notice, bring this fact to the attention of the investment undertaking accordingly. I/We\* declare that I am/we are\* applying for shares on behalf of persons who

- to the best of my/our\* knowledge and belief, have beneficial entitlement to each of the shares in respect of which this declaration is made; and
- is a person referred to in Section 739D(6) TCA 1997.
- I/We\* also declare that
  - unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares made by me/us\* from the date of this application will be made on behalf of persons referred to in Section 739D(6) TCA 1997; and
  - I/we\* will inform you in writing if I/we\* become aware that any person ceases to be a person referred to in Section 739D(6) TCA 1997.

\* Delete as appropriate.

Name of applicant

Irish tax reference number of applicant<sup>2</sup>

Authorised signatory<sup>3</sup> (declarant)

Title (Mr/Ms/etc)

Capacity in which declaration is made

Date

## Important Notes

- 1 This is a form authorised by the Irish Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
- 2 Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA, 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager/qualifying savings manager, quote the tax reference number of the beneficial owner of the shares/units.
- 3 In the case of, (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. It must also be signed by a qualifying fund manager of an approved retirement fund/an approved minimum retirement fund, by a qualifying savings manager of a special savings incentive account or by a PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

## 10. Signatures and Declarations

This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.

1. I/We hereby acknowledge as part of this application that I/we have been offered the Prospectus, relevant supplement and where applicable the most recent annual or half-yearly reports and accounts for the Company and furthermore that this application is made on the terms thereof and subject to the provisions of the Prospectus, relevant supplement and Memorandum and Articles of Association of the Company and I/we are bound by the terms of the Prospectus, relevant supplement and the Memorandum and Articles of Association of the Company. I/We confirm that I/we are 18 years of age or over. I/We hereby declare that I/we have the legal capacity, authority and all necessary consents to make this application and to invest in shares in the Company. I/We confirm that I/we are in compliance with and will continue to ensure my/our ongoing compliance with all applicable laws and/or regulations in our local jurisdiction and in the event that I/we cease to be compliant with such laws and/or regulations, I/we agree to advise the Company accordingly as soon as possible.
2. I/We have made arrangements for payment to be made by electronic transfer in accordance with section 4 of the application and acknowledge that the Company reserves the right to reject in whole or in part this application.
3. I/We hereby represent and declare that I/we: (i) am/are not a U.S Person as defined in the Prospectus; (ii) have not been solicited to purchase and have not and will not acquire shares while present in the United States; (iii) am/are not applying as a nominee of a U.S Person and I/we am/are not acting on behalf of nor do I/we intend to transfer any shares to a U.S Person(s); (iv) will not transfer any shares or any interest therein to a U.S Person and will not transfer any shares within the United States; and (v) will promptly notify the Company if I/we should at any time become a U.S Person. I/We confirm that I/we have the authority to make this investment whether the investment is my/our own or is made on behalf of another person or institution. I/We hereby represent and declare that I/we are/ am fully informed as to: (i) the legal requirements within my/our country for the purchase of the shares and are permitted to purchase the shares under the laws and regulations of my/our home country in the manner in which the shares have been offered and sold to me/us; (ii) any foreign exchange restrictions applicable to me/us; and (iii) any relevant tax considerations relating to us arising out of my/our purchase and ownership of shares.
4. I/We hereby agree to indemnify and hold harmless the Company, Custodian, Administrator, Investment Manager, Distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the Company. The Company, the Custodian, the Administrator, the Distributor and the Investment Manager will not be responsible or liable for the authenticity of instructions received from me/us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine. I/We also agree to indemnify and hold harmless the Company, the Custodian, the Administrator, the Investment Manager, the Distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result from my/our holdings of shares in the Company which brings the Company into conflict with any requirement of any local regulatory authority or the Company incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.
5. I/We acknowledge that the Administrator may, in accordance with Irish law, electronically deliver reports to Shareholders in accordance with Section 5. Such reports may include but are not limited to contract notes, holdings confirmations, valuation statements and other reports which may be issued by the Administrator from time to time ("Reports"). I/We hereby agree that the Administrator and its directors, officers, employees and agents shall be fully indemnified and shall not be liable to me/us or to any Shareholders in the Company for any direct loss, damage, expense (including without limitation, legal counsel and professional fees and other costs and expenses incurred in connection with the defence of any claim, action or proceedings) occasioned by act or omission of the Administrator and its directors, officers and employees in connection with the electronic delivery of Reports other than as a result of the fraud, negligence, bad faith, wilful misconduct or reckless disregard of the Administrator in the performance of its duties as Administrator of the Company.
6. I/We acknowledge that due to anti-money laundering requirements operating within their respective jurisdictions, including those prescribed by the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010, the Company, the Administrator, the Investment Manager, the Sub-Investment Manager or the Distributor (as the case may be) may require further identification from me/us, as described in the Prospectus and at section 5 of the application, at any time in relation to this application and the Company, the Administrator, the Investment Manager, the Sub-Investment Manager and the Distributor shall be held harmless and indemnified against any loss arising as

a result of a failure to process the application if such information as has been required by the parties referred to has not been provided by me/us. No redemption proceeds may be paid until the original application and all documentation as requested by the Company, Administrator, Investment Manager, Sub-Investment Manager or Distributor for the purposes of anti-money laundering requirements has been received by the Administrator. I/We also warrant and declare that the monies being invested pursuant to the application do not represent directly or indirectly the proceeds of any criminal activity and that the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.

7. I/We agree that personal information obtained in relation to me/us will be held on computer and may be disclosed by the Company, the Administrator, the Investment Manager, the Sub-Investment Manager or Custodian to each other or to their related companies and may be used for the purposes of maintaining records, administration of the Company, marketing of the Company or other investment products or services of the Company, Administrator, Investment Manager or the Sub-investment Manager. I/We also agree that such information may be transferred to countries outside the European Economic Area for these purposes and that such countries may not have equivalent data protection laws to those in Europe and to regulatory bodies, tax authorities, external auditors and technology providers in the course of the business relationship for the purposes specified above. I/We expressly consent to the disclosure and transfer of my/our personal information to the tax authorities in Ireland and in my/our own country of residence in accordance with the Savings Directive. To the extent that any such personal data contained in this application form or otherwise furnished to the Company or Administrator as aforesaid relates to another individual/other individuals, I/We warrant that I/we have fully informed those individuals of the purposes for which their data will be processed and to whom their data may be disclosed and used by and has been authorised to provide consent on behalf of such individual(s). I/we hereby acknowledge my/our right to access and rectify my/our personal data, as provided herein and that I/we may request in writing copies of my/our data held by the Company as data controller. The Company will provide the applicant with a copy of the data held by it as soon as practicable and in any event not more than 40 days after the request in writing. There may be a charge, not exceeding €6.35, to access the data and the Company may also request proof of identification to verify the access request. All requests should be addressed to the registered office of the Company. The Company will endeavour to keep the applicant's data accurate and complete and an applicant may notify the Company in writing that any such data held is inaccurate, in which case the Company will, at the request of the Applicant in writing, amend, erase or block the relevant data and will notify the applicant within 40 days of receipt of the request that the relevant action has been taken. The applicant may also request the erasure of the applicant's data if the applicant believes that the Company is in breach of section 2(1) of the Data Protection Acts 1988 to 2003. All requests should be addressed to the registered office of the Company. Personal information will be handled by the Company, as data controller, in accordance with the Data Protection Acts 1988 to 2003. I/We hereby authorise the Company and the Administrator to retain all documentation provided by me/us in relation to my/our investment in the Company for such period of time as may be required by Irish law, but for not less than five years after the period of investment has ended.
8. To be valid, this application form must be signed by each applicant and if not fully completed to the satisfaction of the Company, the Administrator or the Distributor the application may be rejected. In the case of a partnership/firm applications should be signed by all the partners/proprietors. In the case of a corporation, applications should be executed under seal or signed by a duly authorised person(s) who should state the representation capacity. In the case of a trust, application should be made in the individual names of the trustees and should be accompanied by duly certified documentation. If this application form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this application.
9. I/We undertake to abide by the restrictions on transfers of shares set out in the Prospectus and under the headings "Form of Shares" and "Transfer of Shares". In addition, I/we undertake to ensure that the transferee completes an Application Form in respect of such transfer.
10. I/We hereby confirm that I/we shall be deemed to make, on a continuous basis, each of the statements contained herein unless I/we notify you to the contrary in relation to any Shares I/we hold or obtain at any time.
11. I/We agree to notify the Company or the Administrator immediately if I/we become aware that any of the representations is no longer accurate and complete in all respects and agree immediately to take such action as the Company may direct, including where appropriate, the redemption of my/our holding in its entirety. I/We agree to indemnify each of the Administrator and the Company and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any breach of any of the representations, warranties or declarations given by me/us in this application form.

12. The Administrator and the Company are each hereby authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us in written form or by facsimile. If the instructions are given by me/us by facsimile I/we undertake to confirm them in writing immediately. I/We hereby agree to indemnify each of the Administrator and the Company (on its own behalf and as agent of the Company) and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon facsimile instructions. The Administrator and the Company may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

13. I/We declare that the entity hereby subscribing for Shares is neither a Benefit Plan Investor nor investing on behalf of or with any assets of a Benefit Plan Investor as defined below. (Benefit Plan Investors should contact the Administrator.)

“Benefit Plan Investor” is used as defined in U.S. Department of Labor (“DOL”) Regulation § 2510.3-101(f)(2), and includes (i) any employee benefit plan (as defined in Section 3(3) of the Employee Retirement Income Security Act of 1974, as amended (“ERISA”)), whether or not such plan is subject to Title I of ERISA (which includes both U.S. and non-U.S. plans, plans of governmental entities as well as private employers, church plans, and certain assets held in connection with non-qualified deferred compensation plans); (ii) any plan described in Section 4975(e)(1) of the Internal Revenue Code of 1986, as amended, (the “Code”) (which includes a trust described in Code Section 401(a) which forms a part of a plan, which trust or plan is exempt from tax under Code Section 501(a), a plan described in Code Section 403(a), an individual retirement account described in Code Section 408 or 408A or an individual retirement annuity described in Code Section 408(b), a medical savings account described in Code Section 220(d) and an education savings account described in Code Section 530); and (iii) any entity whose underlying assets include plan assets by reason of a plan’s investment in the entity (generally because 25 per cent or more of a class of interests in the entity is owned by plans). Benefit Plan Investors also include that portion of any insurance company’s general account assets that are considered “plan assets” and (except if the entity is an investment company registered under the 1940 Act) the assets of any insurance company separate account or bank common or collective trust in which plans invest.

14. If I am/we are a commodity pool, my/our investment is directed by an entity which (i) is not required to be registered in any capacity with the CFTC or to be a member of the National Futures Association (“NFA”), (ii) is exempt from registration or (iii) is duly registered with the CFTC in an appropriate capacity or capacities and is a member in good standing of the NFA.

15. Investment Company Representations:

- (a) I am/We are neither an investment company required to be registered under the Investment Company Act of 1940, as amended (the “1940 Act”), nor an issuer that, but for an exception from the definition of “investment company” under the 1940 Act, would be an investment company,
- (b) I am/We are an investment company subject to registration or would be an investment company but for an exception under the 1940 Act.

I/We have  U.S. Person beneficial owner(s).

(State the number, not the percentage, of U.S. Person beneficial owners. Investment companies with U.S. Person beneficial owners must contact the Administrator.)

16. I/We, if not a natural person, am/are duly organised, validly existing and in good standing under the laws of the jurisdiction in which I am/we are organised and I/we have the power and authority to enter into and perform my/our obligations under this Application Form.

First applicant Authorised Signatory

First joint applicant Authorised Signatory

Second joint applicant Authorised Signatory

Third joint applicant Authorised Signatory

Date

